

Attachment A-1: Applicant Information (page 2 of 2)

APPLICANT CERTIFICATION.

Technical Assistance Terms for applicants:

I, the Applicant, certify that the facility or lead facility named in the scope of work is interested in technical assistance and is requesting that NYSERDA set aside funds to reimburse the facility, or FlexTech Consultant if applicable, for certain eligible costs, as outlined in the scope of work, in pursuing a project. I certify that the information provided is true to the best of my knowledge. As part of this project, NYSERDA will oversee the Service Provider or FlexTech Consultant's progress and results in completing the scope of work, provide technical review of any applicable report, and be available to address any questions or concerns which arise during the conduct of this project.

I, the Applicant, also certify that NYSERDA does not provide any endorsement of the Consultant's capabilities to provide services outside of the Scope of Work to be conducted pursuant to this agreement. The Customer acknowledges that neither NYSERDA nor its consultant is responsible for assuring that the design, engineering or installation of any recommendation of the technical service is proper or complies with any particular laws (including patent laws), codes, or industry standards.

Overall

NYSERDA does not make any representations of any kind regarding the results to be achieved or the adequacy or safety of any recommendation. NYSERDA does not endorse, guarantee, or warrant any particular manufacturer or product, and NYSERDA provides no warranties, expressed or implied for any product of service.

I, the Applicant, certify the number provided is my correct taxpayer identification number (or I am waiting for a number to be issued to me); I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and I am a U.S. citizen or other U.S. person (as defined in IRS Form W-9).

AUTHORIZED APPLICANT (ENTITY PAYING FOR THE STUDY)

SIGNATURE: _____

NAME AND TITLE: _____

ORGANIZATION AND PHONE: _____

FEDERAL ID¹: _____

Federal ID # should match company/organization paying for energy study services

Federal ID # not required for customers using a NYSERDA FlexTech Consultant.